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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. APXX0002	Serial No.: 09/613,685
	Applicant: Cazalet et al.	
	Filing Date: 7/11/00	Group: 2751 3628

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
<i>JP</i>		4,771,185	9/13/88	Feron et al.	307	39	6/24/86
<i>J</i>		5,430,430	7/4/95	Gilbert	340	310.0	4/8/93
<i>J</i>		5,786,683	7/28/98	Williams	323	220	1/595

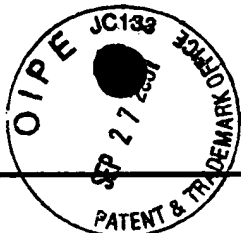
**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
<i>JP</i>		EP 0571889 A2	5/19/93	EPO	3	14		X
<i>JP</i>		EP 0895333 A2	2/3/99	EPO	13	00		X

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	Applicant: Cazalet Filing Date: JULY 11, 2000	Group: 2751 3628

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
SW	A	4,980,826	12/25/1990	Wagner	364	408	3/19/1984
SW	B	4,903,201	2/20/1990	Wagner	364	408	11/3/1983

**Foreign Patent or Published Foreign Patent Application**

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							Yes	No

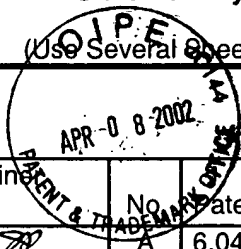
**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
SW	C	Richard P. O'Neil, Udi Helman, Benjamin Hobbs, Michael H. Rothkopf, William R. Stewart, Jr.; <u>A Joint Energy and Transmission Rights Auction</u> ; February 13, 2001

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*SW* 11/11/03

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. APXX0002 Applicant: Cazalet et al. Filing Date: July 11, 2000	Serial No.: 09/613,685  Group: 2751 3628
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### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
78	A	6,047,274	4/4/2000	JOHNSON ET AL.	705	412	2/13/1998

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### Foreign Patent or Published Foreign Patent Application

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							Yes	No

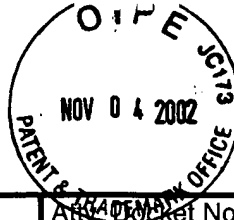
### Other Documents

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*James P. ...*

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Attorney Docket No. APXX0002	Serial No.: 09/613,685
	Applicant: Cazalet et al. Filing Date: 7/11/00	Group: Unassigned <b>3628</b>

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
<i>JP</i>	A	2001/0032197	10/18/01	Chandra et al.	705	412	12/22/00
	B	2002/0091626	7/11/02	Johnson et al.	705	37	1/31/02
	C	6,115,698	9/5/00	Tucke t al.	705	37	8/18/95
	D	6 131 087	10/10/00	Luke et al.	705	26	11/5/98
<i>JP</i>	E	6,343,277	1/29/02	Gaus et al.	705	37	11/2/98

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
<i>JP</i>	F	893 775	1/27/99	EPO	G06F	17/60	X	

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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

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Attorney Docket No. APXX0002

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Form 1449 (Modified)	Atty. Docket No. APXX0002	Serial No.: 09/613,685
Information Disclosure Statement By Applicant	Applicant: Cazalet et al.	Group: 2751 3628
(Use Several Sheets if Necessary)	Filing Date: 7/11/00	

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
JP	A	5,077,665	12/31/91	Silverman et al.	364	408	5/25/89
↓	B	5,136,501	8/4/92	Silverman et al.	364	408	5/26/89
	C	5,727,165	3/10/98	Ordish et al.	395	237	12/27/94

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*John P. ...*

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